

UTILITY/DESIGN PATENT

Docket No. S63.2-6259

DECLARATION

As a below-named inventor, I(we) hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☒ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP DECLARATION

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DILATATION CATHETER BALLOON MADE FROM PEN BASED HOMOPOLYMER OR
RANDOM COPOLYMER

the specification of which

- a) ☐ is being filed concurrently herewith
- b) ☒ was filed on September 10, 1997 and assigned Serial No. 08/927,662
- c) ☐ was filed as PCT International Application No. _____ filed on _____ and amended under PCT Article 19 on _____.

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56 including information occurring between the filing date of any prior application of which the present application is a continuation-in-part.

- ☐ In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			YES NO
			YES NO
			YES NO
			YES NO

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications(s) and the national or PCT international filing date of this application.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows.

U.S. APPLICATIONS	
SERIAL NUMBER	U.S. FILING DATE
1.	
2.	
PCT APPLICATIONS DESIGNATING THE U.S.	
PCT APPLICATION NO.	PCT FILING DATE
3.	

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Telephone calls and correspondence should be directed to: Walter J. Steinkraus, VIDAS, ARRETT & STEINKRAUS, P.A., 6109 Blue Circle Drive, Suite 2000, MN 55343-9131, Telephone: (612) 563-3000, Facsimile (612) 563-3001.

First Inventor

Full name: David A. Ferrera
Inventor's signature: *David A. Ferrera*
Date: 20 MARCH, 1998
Citizenship: United States of America
Post office Address: 313 Central Street
Acton, MA 01720
Residence: 348 38th AVENUE
SAN FRANCISCO, CA
94112

Second Inventor

Full name: Ronald a. Sahatjian
Inventor's signature: _____
Date: _____
Citizenship: United States of America
Post office Address: 29 Saddle Club Road
Lexington, MA 02173
Residence: _____
(If different than above)

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			YES NO
			YES NO
			YES NO
			YES NO

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications(s) and the national or PCT international filing date of this application.

- a) ☒ no such applications have been filed.
b) ☐ such applications have been filed as follows.

U.S. APPLICATIONS	
SERIAL NUMBER	U.S. FILING DATE
1.	
2.	
PCT APPLICATIONS DESIGNATING THE U.S.	
PCT APPLICATION NO.	PCT FILING DATE
1	

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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First Inventor

Full name: David A. Ferrera
Inventor's signature: _____
Date: _____
Citizenship: United States of America
Post office Address: 313 Central Street
Acton, MA 01720
Residence:
(if different than above)

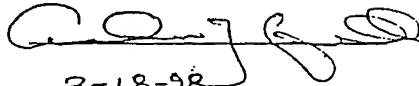
Second Inventor

Full name: Ronald a. Sahatjian
Inventor's signature: *Ronald a. Sahatjian*
Date: 3/20/98
Citizenship: United States of America
Post office Address: 29 Saddle Club Road
Lexington, MA 02173
Residence:
(if different than above)

Third Inventor

Full name: Andrew J. Campbell

Inventor's signature:



Date:

3-18-98

Citizenship:

United States of America

Post office Address:

253 Franklin Street
Reading, MA 01867

Residence:

(If different than above)

Fourth Inventor

Full name: George C. Michaels

Inventor's signature:



Date:



Citizenship:

United States of America

Post office Address:

4 Tadmuck Lane
Westford, MA 01886

Residence:

(If different than above)

04/07/98

14:49

308 647 2730

BSC PATENT

002/003

Third Inventor

Full name: Andrew J. Campbell

Inventor's signature: _____

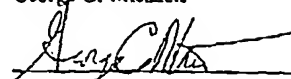
Date: _____

Citizenship: United States of America

Post office Address: 253 Franklin Street
Reading, MA 01867Residence:
(If different than above)

Fourth Inventor

Full name: George C. Michaels

Inventor's signature:  _____Date: 3/19/98

Citizenship: United States of America

Post office Address: 4 Tadmuck Lane
Westford, MA 01886Residence:
(If different than above)

UTILITY/DESIGN PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	David A. Ferrera et al
Title:	DILATATION CATHETER BALLOON MADE FROM PEN BASED HOMOPOLYMER OR RANDOM COPOLYMER
Filed:	<input type="checkbox"/> concurrently herewith <input checked="" type="checkbox"/> on <u>September 10, 1997</u> and assigned Serial No. <u>08/927,662</u>

Docket No: S63.2-6259

Assistant Commissioner for Patents
Washington, DC 20231

POWER OF ATTORNEY FROM ASSIGNEE

As assignee of record of the entire interest of the above identified patent application, **SCIMED LIFE SYSTEMS, INC.** hereby appoints the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Walter J. Steinkraus	Reg. No. 29,592
Oliver F. Arrett	Reg. No. 22,117
Scott Q. Vidas	Reg. No. 30,812
Richard A. Arrett	Reg. No. 33,153
Robert O. Vidas	Reg. No. 20,164
Leoniede M. Brennan	Reg. No. 35,832
Jane H. Arrett	Reg. No. 33,355
William E. Anderson II	Reg. No. 37,766

all of Vidas, Arrett & Steinkraus, P.A., 6109 Blue Circle Drive, Suite 2000, Minnetonka, Minnesota 55343-9131, U.S.A., Telephone (612) 563-3000, and hereby authorizes them to act and rely on instructions from, and to communicate directly with, the firm or person which sent this case to Vidas, Arrett & Steinkraus, P.A., unless or until it instructs Vidas, Arrett & Steinkraus P.A., in writing to the contrary.

Dated this 5th day of March, 1998.

(Company Name)

SCIMED LIFE SYSTEMS, INC.

(Signature)
(typed name)

By

Luke Dohmen

(title)

Its: Sr. Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Ferrera et al
Application No.:	Not Assigned
Filed:	Concurrently herewith
For:	DILATION CATHETER BALLOON MADE FROM PEN BASED HOMOPOLYMER OR RANDOM COPOLYMER
Examiner:	Not Assigned
Group Art Unit:	Not Assigned

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: S63.2N-6259-US03

ASSIGNEE'S STATEMENT OF OWNERSHIP 37 CFR 3.73(B)

Scimed Life Systems, Inc. (name of assignee), a Minnesota corporation
(e.g., corporation, partnership, university, government agency etc.) is the
assignee of the entire right, title and interest in the patent application identified above by
virtue of:

- A. ☒ An assignment from the inventor(s) grandparent of the patent
application identified above. The original Assignment has been recorded
at Reel 9094, Frame 0174, mailed to Assignment Branch for recording.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application
identified above, to the current assignee as shown below:

1. From : _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof
is attached.
2. From : _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof
is attached.

☐ Additional documents in the chain of title are listed on a
supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are
attached.

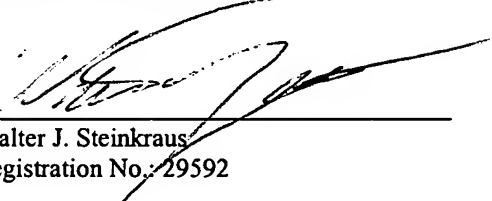
The undersigned is empowered to sign this statement of ownership certificate on behalf of the assignee.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: June 19, 2003

By: _____


Walter J. Steinkraus
Registration No. 29592

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001

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